

**RESOLUTION AUTHORIZING GRANT
NEW YORK STATE EMPIRE STATE DEVELOPMENT
ELEVATE518, LLC**

A regular meeting of the board of directors of (the “Board of Directors”) Clinton Grant Facilitation Corporation (the “Corporation”) was convened in public session in the offices of the Corporation located at 137 Margaret Street, Suite 208 in the City of Plattsburgh, Clinton County, New York on August 14, 2023 at 12:00 o’clock p.m., local time.

The meeting was called to order by the (Vice) Chairperson of the Board of Directors and, upon roll being called, the following members of the Board of Directors were:

PRESENT:

Trent Trahan	Chairperson
David Hoover	Vice Chairperson
Michael E. Zurlo	Secretary
Keith Defayette	Treasurer
John VanNatten	Member
Joey Trombley	Member

ABSENT:

Mark Leta	Assistant Secretary
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CORPORATION STAFF PRESENT INCLUDED THE FOLLOWING:

Molly F. Ryan	Executive Director
Toni Moffat	Executive Assistant
Christopher C. Canada, Esq.	Corporation Counsel
Shannon E. Wagner, Esq.	Corporation Counsel

The following resolution was offered by D. Hoover, seconded by J. VanNatten, to wit:

Resolution No. 08-23-01

RESOLUTION AUTHORIZING THE CLINTON GRANT FACILITATION CORPORATION TO (A) ACCEPT GRANT FUNDING FROM THE NEW YORK STATE EMPIRE STATE DEVELOPMENT RELATING TO THE ELEVATE518, LLC PROJECT, AND (B) EXECUTE AND DELIVER VARIOUS DOCUMENTS IN CONNECTION THEREWITH.

WHEREAS, pursuant to the provisions of Section 1411 of the Not-For-Profit Corporation Law of the State of New York, as amended (the “Enabling Act”) and Revenue Ruling 57-187 and Private Letter Ruling 200936012, the members of the County of Clinton Industrial Development Agency adopted a resolution on July 17, 2017 (A) authorizing the incorporation of Clinton Grant Facilitation Corporation (the “Corporation”) under the Enabling Act and (B) appointing the initial members of the board of directors of the Corporation; and

WHEREAS, on March 29, 2019, a certificate of incorporation was filed with the New York Secretary of State’s Office (the “Certificate of Incorporation”) creating the Corporation; and

WHEREAS, the Corporation is authorized and empowered by the provisions of 402 and Section 1411 of the Not-For-Profit Corporation Law of the State of New York to promote community and economic development and the creation of jobs for the citizens of Clinton County, New York (the "County") by, among other things, facilitating the economic development of the County and the adjoining counties of Essex and Franklin (collectively, the "Grant Area") through the receipt and administration of gifts, grants, loans, or contributions relating to any portion of the Grant Area from, and enter into contracts or other transactions with, the United States and the State of New York or any Corporation of either of them, any municipality, any public or private corporation or any other legal entity; and

WHEREAS, Elevate518, LLC (the "Company") is undertaking a project (the "Project") consisting of conducting a study regarding the feasibility of, and market for, a new facility to provide indoor sports and events and the utility of the existing, vacant Crete Center located at 2 Beach Road in the City of Plattsburgh, Clinton County, New York (the "Project Facility"); and

WHEREAS, in connection with the Project, the Company wished to submit an application (the "Application") to the New York State Empire State Development ("NYSESD") for grant funding related to the Project as set forth in the Application, a copy of which is attached hereto as Exhibit A; and

WHEREAS, by resolution adopted by the members of the Corporation on July 12, 2021, the members of the Corporation authorized the Application to be submitted to NYSESD (the "Submission Resolution"); and

WHEREAS, the Application was submitted by the Corporation on behalf of the Company, and the Corporation received an incentive proposal and related documents related to a grant in an amount not to exceed \$16,000 from NYSESD for the Project (the "Grant"); and

WHEREAS, in connection with the Grant, NYSESD has requested that the Corporation enter into a grant agreement (the "Grant Agreement") and certain other related documents; and

WHEREAS, in order to induce the Corporation to accept the Grant and enter into the Grant Agreement, the Company has agreed to enter into an indemnification agreement by and between the Corporation and the Company (the "Indemnification Agreement," and collectively with the Grant and the Grant Agreement, the "Grant Documents")

WHEREAS, the Corporation desires to designate the Executive Director of the Corporation to be responsible for performing the designated functions and required actions related to the Grant Documents; and

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE CLINTON GRANT FACILITATION CORPORATION, AS FOLLOWS:

Section 1. Subject to review of the Grant Documents and any related documents or required actions by the Chairperson, Vice Chairperson, or Executive Director of the Corporation, and approval of the Grant Documents and any related documents by counsel to the Corporation, the Corporation hereby determines to accept the Grant and hereby authorizes the execution by the Corporation of the Grant Documents by the Chairperson, Vice Chairperson, or Executive Director of the Corporation; provided, however, that the Corporation shall not execute the Grant Agreement or related documents unless and until the Corporation and the Company enter into the Indemnification Agreement.

Section 2. Subject to approval by counsel to the Corporation of the terms of the Grant Documents and any related documents, the Chairperson, Vice Chairperson, or Executive Director of the Corporation is hereby authorized to execute and deliver the Grant Documents, and, where appropriate, the Secretary (or Assistant Secretary) of the Corporation is hereby authorized to affix the seal of the Corporation thereto and to attest the same, all in substantially the form thereof presented to the members of the Corporation, with such changes, variations, omissions and insertions as the Chairperson, Vice Chairperson, or Executive Director shall approve, the execution thereof by the Chairperson, Vice Chairperson, or Executive Director to constitute conclusive evidence of such approval.

Section 3. The officers, employees and agents of the Corporation are hereby authorized and directed for and in the name and on behalf of the Corporation to do all acts and things required or provided for by the provisions of the Grant Documents, and to execute and deliver all such additional certificates, instruments and documents, to pay all such fees, charges and expenses and to do all such further acts and things as may be necessary or, in the opinion of the officer, employee or agent acting, desirable and proper to effect the purposes of the foregoing resolution and to cause compliance by the Corporation with all of the terms, covenants and provisions of the Grant Documents and related documents binding upon the Corporation.

Section 4. This resolution shall take effect immediately.

The question of the adoption of the foregoing resolution was duly put to vote on roll call, which resulted as follows:

Trent Trahan	VOTING	YES
David Hoover	VOTING	YES
Michael Zurlo	VOTING	YES
Keith Defayette	VOTING	YES
Mark Leta	VOTING	EXCUSED
John VanNatten	VOTING	YES
Joey Trombley	VOTING	YES

The resolution was thereupon declared duly adopted.

[Remainder of page left blank intentionally]

STATE OF NEW YORK)
) SS.:
COUNTY OF CLINTON)

I, the undersigned (Assistant) Secretary of Clinton Grant Facilitation Corporation (the “Corporation”), do hereby certify that I have compared the foregoing extract of the minutes of the meeting of the board of directors of the Corporation (the “Board of Directors”) held on August 14, 2023 with the original thereof on file in my office, and that the same is a true and correct copy of said original and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that (A) all members of the Board of Directors had due notice of said meeting; (B) said meeting was in all respects duly held; (C) pursuant to Article 7 of the Public Officers Law (the “Open Meetings Law”), said meeting was open to the general public, and due notice of the time and place of said meeting was duly given in accordance with such Open Meetings Law; and (D) there was a quorum of the Board of Directors present throughout said meeting.

I FURTHER CERTIFY that, as of the date hereof, the attached resolution is in full force and effect and has not been amended, repealed or rescinded.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Corporation this 14th day of August, 2023.


Secretary

(SEAL)

EXHIBIT A
APPLICATION

See attached.

NYS Consolidated Funding Application # 110801
Organization Name: Clinton County IDA - Clinton Grant Facilitation Corporation
Project Name: Indoor Field Sports and Event Center Feasibility Study
City: Plattsburgh **State:** NY

Created on
July 29, 2021 - 04:32 PM
Application finalized on
July 29, 2021 - 04:30 PM

Region

North Country

Questionnaire Questions & Answers

Location

ESD - Strategic Planning and Feasibility Studies

Q_3527 US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

21

Q_928 Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

137 Margaret Street

Q_565 Project City

Plattsburgh

Q_972 Project county or counties.

Clinton

Q_568 Project State

NY

Q_572 Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

44.69918629600005

Q_573 Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

-73.45391374099995

Q_184 NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

115

Q_190 NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

45

Q_1034 Project ZIP Code. (please use ZIP+4 if known)

12901

Q_616 For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

This is a study to determine feasibility for a new for-profit indoor field sports and event facility in the Plattsburgh area. A precise location has not yet been determined.

Basic

General Project Information

Q_549 Type of Applicant (select all that apply)

County, IDA

Q_550 If you are a DBA, what is your DBA name?

Clinton Grant Facilitation Corporation

Q_556 Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

Federal Tax ID Number

Q_2655 Based on your selection from the previous question, enter your applicant ID number. (Please do not provide your social security number).

83-4601480

Q_969 If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

N/A

Applicant

Answer

Organization Legal Name	County of Clinton Industrial Development Agency - Clinton Grant Facilitation Corporation
Applicant First Name	Michael
Applicant Last Name	Zurlo
Street Address	137 Margaret Street
City	Plattsburgh
State	NY
Zip Code (use ZIP+4 if known)	12901
Telephone Number (include area code)	5185654600
Email Address	mike.zurlo@clintoncountygov.com

Contacts

	Primary Contact	Contact Authorized to Execute Contract if Awarded	Additional Contact
Salutation	Mr.	Mr.	No Answer
First Name	Steven	Michael	No Answer
Last Name	Peters	Zurlo	No Answer
Title	Owner	County Administrator	No Answer
Organization	Elevate518, LLC	Clinton County IDA - CGFC	No Answer
Street Address	51 Lakeside Road	137 Margaret Street	No Answer
City	Peru	Plattsburgh	No Answer
State	NY	NY	No Answer
ZIP Code	12972	12901	No Answer
Telephone Number	5185933290	5185654600	No Answer
Email Address	stevepeters518@gmail.com	mike.zurlo@clintoncountygov.com	No Answer

Q_4199 Please select the primary sector or characterization that best defines this project.

Recreation

Q_4198 Please select the secondary sector or characterization that best defines this project.

Tourism/Travel

Project Description

Q_575 Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

The County of Clinton Industrial Development Agency under its Grant Facilitation Corporation subsidiary is undertaking a grant application through Empire State Development for a Strategic Planning and Feasibility Study on behalf of Elevate518, LLC. If the grant application is successful, funds would be used to hire a national-level consultant firm to conduct a market and feasibility study for a new facility for the indoor field sports and events market. Elevate518, LLC has a multi-year contract with the City of Plattsburgh to conduct indoor field sports programs and Events at the City's Crete Civic Center. However, the facility, built in 1974, is aging substantially with the City indicating that the next three years will be the last of the Crete Center's usable life. A comprehensive market and feasibility study will substantially guide Elevate518, LLC in future facility planning of these programs on behalf of the thousands of Clinton County residents that currently use the Crete Civic Center. A proper study will also give hope to a potential transition from a publicly supported facility to a private facility. In doing so, it will reduce the tax burden for City Residents.

Q_976 Statement of Need

The study will determine viability for a publicly accessible, for-profit Indoor Field Sports and Event Center to be located in Clinton County. If the market and feasibility study shows promise, a potential new facility would be a replacement of the aging Crete Civic Center, located in Plattsburgh, NY. The market and feasibility study is an essential first step toward determining the future of popular youth and adult activities and sports and event tourism in Clinton County. The City of Plattsburgh built the Crete Civic Center in 1974. As of 2021, the facility caters to at least 4300 regional residents per week during the winter, with all ages, incomes, and ability levels represented. Most facility users take part in an indoor field sports programs that encourage health and wellness opportunities during the difficult winter months.

Q_2366 How does your project align with the Regional Economic Development Council's Strategic Plan/Upstate Revitalization Initiative Plan? (strategic plans are located at <https://regionalcouncils.ny.gov/>)

The market and feasibility study is a vital first step to establishing a permanent facility that serves a large portion of the North Country's youth and adults. It specifically points to the North Country REDC goal of PLACEMAKING, where "...we must establish and cultivate

attractive communities where people want to visit, and when they do, begin to plan how to live and work here too." Additionally, since this type of facility is a Regional draw, it works well with the noted concept of being "...fiscally responsible by reducing the cost of new infrastructure spread over a wider geographic area."

Q_930 Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.

This project is a priority for the region because of the substantial impact it will have on the residents of the region. Recent 2021 data shows that the Indoor Field Sports program at the Crete Center serviced youth and adults from forty-seven different municipalities across three counties. With 110,000+ user days each winter, and virtually a 100% full facility each day, the facility is showing a substantial usage that is unmatched anywhere else in the region. As the Crete Center ages and is moved off-line in a few years, it should be the highest priority for our residents to seek out data-driven solutions.

Q_9527 Does your project advance downtown revitalization and strategic community investment?

Yes

Q_9528 If Yes, please detail how it will advance downtown revitalization and strategic community investment.

This Study will provide market-level data that will drive a effort to find a home for these regionally popular indoor field sports programs. When families have accessible and affordable recreation opportunities, they are more likely to be happy and healthy in the workforce and will be more apt to live, work, and play in the North Country Region.

Q_9529 Does your project improve access to child care?

Yes

Q_9530 If Yes, please detail how it will improve access to child care.

A functioning and multi-faceted indoor facility will provide qualified child care providers and summer camp operators the opportunity to have a safe and accessible indoor location. Given the variable and unpredictable climate, a facility can give options for year-round activities that they would otherwise not be able to provide.

Q_9531 Does your project incorporate environmental justice practices?

Yes

Q_9532 If Yes, please detail how it will incorporate environmental justice practices.

As part of the parameters of the feasibility study, it will be essential that the study take into account proper environmental justice practices. Energy efficiency and environmental

stewardship are required functions for the future of recreation management in the North Country.

Q_3762 Does your project directly address the needs of people in your region who are living in poverty and who seek resources for inclusion in the economic life of New York State?

Yes

Q_3763 How does your project seek to apply CFA funds for the purpose of eliminating barriers to skilled employment by poor people in your region, as identified by the Opportunity Agenda? Please describe any efforts to collaborate at the local or regional level (i.e. public, private, labor, philanthropic sectors).

This study will eliminated barriers to skilled employment through goals initiated by the North Country Opportunity Agenda. This project will "attract and nurture entrepreneurial pioneers to cultivate innovative clusters in our rural communities" by providing positive recreational opportunities for residents, boosting quality of life. In doing so, it will also "elevate global recognition of the region as one of the special places on the planet to visit, live, work and study." Additionally, this project will advance a primary goal to "activate tourism as a driver to diversify our economies."

Q_3764 How does your project build workforce development programs, improve physical infrastructure, and/or establish social services that connect people living in poverty in your region with skilled employment, in correspondence with the economic revitalization priorities, distressed community targets, and the industry growth areas identified in the Opportunity Agenda and Strategic Plan?

This project will provide opportunities to hire and nurture economically disadvantaged people and connect them to the community through positive, youth-centric and health and wellness activities.

Q_4200 Does your project provide opportunities for Veterans' to participate in the workforce, or improve services to the Veterans' and military families in New York?

Yes

Q_4201 If Yes, please explain how your project impacts the Veterans' and military families in New York.

(This question is associated with your answer selection in question: [Q_4200](#))

The feasibility study will create options to work through the Clinton County Vetrans Agency for employment opportunities. Additionally, Elevate518, LLC already has vetrans on staff for its indoor programs at the Crete Civic Center.

Q_929 Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Planning

Q_975 Estimated Project Timeline: including project start/completion dates, estimates for design, permitting and construction or other major steps. (You may enter N/A for non-Project related applications)

December 2021: Grant Award
January/February 2022: Contract/paperwork
March/April 2022: Consultant selection and data gathering
September 2022: Consultant final report

Q_580 Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

N/A

Q_2364 What is the status of State and/or Federal Environmental Review? If review of the project is underway or completed pursuant to the State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please indicate the lead agency (if applicable).

none required for a feasibility study

Q_1054 If National Environmental Policy Act (NEPA) Record of Decision has been issued, please explain (include date of Record of Decision).

none required for a feasibility study

Prior CFA Funding

Q_2362 If funding was awarded in prior CFA rounds, what were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

N/A

Q_4160 For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable. This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

The funds for this program (\$25,750 from ESD and \$25,750 from Elevate518, LLC) are required to undertake this feasibility study. Without a full match, it is likely that the comprehensive study would not be able to take place. There is a possibility of diluting the study to get answers on a few key items, however, it will not provide the full scope of what would be required to move forward. It should be noted that \$25,750 is a large sum of money from Elevate518 and their commitment to regional facilities and programs should be matched.

Standard Question

ESD - Strategic Planning and Feasibility Studies

General Project Questions -

In the beginning of the Application, you were asked to describe your overall project, which may include multiple phases, such as in the case of a major infrastructure project or redevelopment of a specific geographic area. Please note that this section of the Application is specific to the phase of the project for which ESD grant funds are being requested through this CFA, NOT the overall

project.

Q_2408 Please concisely describe the proposed project and the community's need for the project, indicating the location, what will be planned, the issues/opportunities to be addressed and expected outcomes and deliverables. Note that projects should focus on economic development purposes.

The County of Clinton IDA - Clinton Grant Facilitation Corporation is seeking funds on behalf of Elevate518, LLC to hire a national-level consultant firm to conduct a market and feasibility study to determine viability for a publicly accessible, for-profit Indoor Field Sports and Event Center to be located in Clinton County. This is an essential first step toward determining the future of popular youth and adult activities and sports and event tourism. If the market and feasibility study shows promise, a potential new facility would be a replacement of the aging Crete Civic Center. Elevate518, LLC has a multi-year contract with the City of Plattsburgh to conduct indoor field sports programs and Events at the City's Crete Civic Center. However, the facility, built in 1974, is aging substantially with the City indicating that the next three years will be the last of the Crete Center's usable life. A comprehensive market and feasibility study will substantially guide Elevate518, LLC in future facility planning of these programs on behalf of the thousands of Clinton County residents that currently use the Crete Civic Center. As of 2021, the facility caters to at least 4300 regional residents per week during the winter, with all ages, incomes, and ability levels represented. Most facility users take part in an indoor field sports programs that encourage health and wellness opportunities during the difficult winter months.

Q_7542 Please provide a 2-4 sentence press release ready description of the proposed project. This description may appear in the REDC Awards Booklet if the project is funded. Please be clear and concise.

The County of Clinton IDA- Clinton Grant Facilitation Corporation is seeking funds for a Strategic Planning and Feasibility Study on behalf of Elevate518, LLC. Funds would be used to hire a national-level consultant firm to conduct a market and feasibility study for a new facility for the indoor field sports and events market. The study will determine viability for publicly accessible, for-profit Indoor Field Sports and Event Center to be located in Clinton County.

Q_3133 Is the proposed project located in a highly distressed area? If so, please provide information that will help ESD confirm that the area is highly distressed.

This Study will be evaluating the market in an area what was substantially affected by the closure of the Plattsburgh Air Force Base.

Q_3134 Is a third party being used to complete this application such as consultant, accountant or attorney?

Yes

Q_3136 If you are a third party completing this application you must disclose your name, company and contact information.

Steve Peters, Owner, Elevate518, LLC (518) 593-3290, stevepeters518@gmail.com

Q_2409 What is the anticipated project timeline?

Consultant selection - April 2022, final report September 2022

Q_2411 Describe the Applicant's experience in completing strategic development plans, feasibility studies, site assessments, or similar plans or studies.

The Feasibility Study will be conducted by by outside professionals with specific experience in market studies, facility feasibility and recreation/tourism related market evaluations.

Q_2413 Will the Applicant conduct the project? If yes, please describe the Applicant's demonstrated organizational capacity and experience in completing activities similar to or related to the proposed project. If the Applicant will not conduct the project, what entity is anticipated to do so, how will that entity be selected, and what quality control measures will be used?

No. Consultant work will be conducted by outside professionals with specific national-level experience in market studies, facility feasibility and recreation/tourism related market evaluations.

Q_2424 Describe how the project demonstrates broad community support and participation and ongoing collaboration with state, regional and local, public and private stakeholders.

With a grant award, the market and feasibility study will be required to gather large data sets from the general public by engaging, and evaluating our regional communities. Tactics for outreach will include using Elevate518, LLC's extensive contact lists, local media, and popular social media channels.

Q_2425 Describe the project's consistency with existing local, county and regional plans such as a local or county master plan or comprehensive plan.

Securing funding to aid in determining the future of the popular indoor field sports program is an essential part of the City of Plattsburgh's Beach Feasibility Study and Master Plan. With the Crete Center located on the beach property, and its aging infrastructure being a liability for the City, relocating the indoor field sports program is paramount to the overall success of the property.

Q_3648 Provide a brief work plan, including description of tasks undertaken by all involved entities and schedule for completion of each task.

December 2021: Grant Award

January/February 2022: Contract/paperwork

March/April 2022: Consultant selection and data gathering

September 2022: Consultant final report

Q_3647 Identify potential future funding sources and the amount expected to be committed in order to implement the recommendations/findings from the plan or study.

With a favorable market study, private investment, bank involvement, and potential REDC grant opportunities are likely sources of funding.

Environmental and Historic Preservation Questions

Q_5723 Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the National Register Information System at <http://www.nps.gov/history/nr/research/> to find out if a property is listed. The State Preservation Historical Information Network Exchange (SPHINX) tool at <http://nysparks.com/shpo/online-tools/> can also be used to find out if a property is listed on the State Register. Indicate Yes, No, N/A. Click "Scoring Tips" for more information.

No

Q_5725 Is the project site wholly or partially included within an identified archeologically sensitive area? The Geographic Information System for Archeology and National Register tool can be used to identify archeologically sensitive areas. Indicate Yes, No, N/A. Click "Scoring Tips" for more information. [Listed below please find the link for The Geographic Information System for Archeology and National Register.](#)

N/A

Q_1043 Is the project owner/occupant/operator or any facilities which are under the supervision of the project owner/occupant/operator in violation of any federal, state or local environmental or other laws, or listed on the registry of Inactive Hazardous Waste Disposal Sites? Indicate Y/N/NA. If "Y", explain.

NA

Smart Growth

Smart Growth Questions: The NYS Smart Growth Public Infrastructure Policy Act requires that a project meet the relevant smart growth criterion to the extent practicable. Please respond to the questions below regarding smart growth criteria.

Q_1059 Does the proposed project use, maintain, or improve existing infrastructure? Y/N/Not Relevant. Please explain all responses.

NA - this is a feasibility study.

Q_1060 Is the proposed project located in a municipal center? Y/N/Not Relevant. Please explain all responses.

NA this is a feasibility study

Q_1061 Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan? Y/N/Not Relevant. Please explain all responses.

NA this is a feasibility study

Q_1062 Will the proposed project protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources? Y/N/Not Relevant. Please explain all responses.

Not Relevant for a feasibility study

Q_1063 Will the proposed project foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups? Y/N/Not Relevant. Please explain all responses.

Not Relevant for a feasibility study

Q_1064 Will the proposed project provide mobility through transportation choices including improved public transportation and reduced automobile dependency? Y/N/Not Relevant. Please explain all responses.

Not Relevant for a feasibility study

Q_1065 Will the proposed project involve coordination between state and local government and inter-municipal and regional planning? Y/N/Not Relevant. Please explain all responses.

Not Relevant for a feasibility study

Q_1066 Will the proposed project involve participation in community based planning and collaboration? Y/N/Not Relevant. Please explain all responses.

With a grant award, the market and feasibility study will be required to gather large data sets from the general public and specific participants by engaging, and evaluating our regional communities. Tactics for outreach will include using Elevate518, LLC's extensive contact lists, local media, and popular social media channels.

Q_1067 Will the proposed project ensure predictability in building and land use codes? Y/N/Not Relevant. Please explain all responses.

Not Relevant for a feasibility study

Q_1068 Will the proposed project promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation? Y/N/Not Relevant. Please explain all responses.

NA - this is a feasibility study.

Q_6256 Will the proposed project mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data, if applicable?

NA - this is a feasibility study.

Certification

General Certifications

Q_2180 By entering your name in the box below, you certify and agree that you are authorized on

behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for MBE/WBE participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

Michael Zurlo

Q_4182 By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs.

Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

Michael Zurlo

Q_7341 By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from

entering into any government contract; been found non-responsible on any government contract; been declared in default or terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

Michael Zurlo

Q_1038 By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

Michael Zurlo

Net New Jobs

No job answers necessary due to your associated programs.

Qualified Investments

No investment answers necessary due to your associated programs.

Total Project Cost

Total project cost: \$ 51,500

Funding Requested from Program

Program	Amount Requested
ESD - Strategic Planning and Feasibility Studies	\$ 25750

Program Budget

ESD - Strategic Planning and Feasibility Studies

Use	Source	Status	Amount	Indicate Source / Comments
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Contractual Services	ESD Grant Funds	Anticipated	\$25750
Contractual Services	Private	Secured	\$24250
Travel	Private	Secured	\$1500

Attachment Questions & Answers

ESD - Strategic Planning and Feasibility Studies

Q_6946 Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

Elevate 518 LOS.pdf

[Download](#)

Q_9429 Attach 2018 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2018. For-publicly traded companies, please provide Form 10-K for 2018.

2018 CCIDA Financials.pdf

[Download](#)

Q_11621 Attach 2019 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2019. For-publicly traded companies, please provide Form 10-K for 2019.

2019 CCIDA Financials.pdf

[Download](#)

Q_12172 Attach 2020 financial documents.

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2020. For publicly traded companies please provide Form 10-K for 2020.

2020 CCIDA Financials.pdf

[Download](#)

Q_12173 Attach interim financials for 2021

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide interim financials for 2021, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.

CCIDA Balance Sheet and Profit & Loss YTD Comparion as of June 30, 2021.pdf

[Download](#)

Q_2165 For Start-up companies, in addition to the documents listed above provide: 1) Financial projections (5 years) 2) Personal financial statements from a personal guarantor(s) of the start-up company 3) Principal resumes

[ProjectionsFinancialsResume - ESD Strategic Planning Feasibility Study - Elevate518.pdf](#)
[Download](#)

Q_2331 Attach an organizational chart and/or description of ownership structure including the percentage of ownership for each individual entity.

[OrganizationalChart - ESD Strategic Planning and Feasibility Study - Elevate518.pdf](#)
[Download](#)

Q_5694 Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "Scoring Tips" for links to forms.

[SEQR - ESD Strategic Planning and Feasibility Study - Elevate518.pdf](#)
[Download](#)

Q_2333 If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

No attachment, cannot upload

Q_2334 Provide a list of all other federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, please upload an attachment that indicates "NA".

[FederalStateLocal ReviewsApprovalsPermits - ESD Strategic Planning and Feasibility Study - Elevate518.pdf](#)
[Download](#)

Legend

[x] = Expired Program